

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors: Paul Dagum and Thomas A. Chavez
Assignee: Rapt, Inc.
Title: METHOD AND APPARATUS FOR OPTIMIZING A MULTIVARIATE ALLOCATION OF RESOURCES
Application No.: 09/491,461 Filing Date: January 26, 2000
Examiner: Beth Van Doren Group Art Unit: 3623
Docket No.: RAP0001P1US Confirmation No.: 8555

Austin, Texas
October 26, 2006

Mail Stop 16
Director of the U.S. Patent and Trademark Office
P. O. Box 1450
Alexandria, VA 22313-1450

REQUEST FOR REFUND

Dear Sir or Madam:

Applicants respectfully request a refund in the amount of \$395.00, which was erroneously authorized as a charge in a recently filed Request for Continued Examination.

At the time of filing the RCE, Applicant's representative mistakenly authorized the Director of Patents and Trademarks to charge a deposit account (No. 502306) in the amount of \$790.00 for the RCE (see enclosed copy of Transmittal Letter and RCE as filed on October 12, 2006).

However, applicant is a **SMALL** entity and authorization to charge large entity fees were mistakenly given.

PATENT

Applicants therefore request a refund in the amount of \$395.00, which is the difference between \$790.00 (the incorrectly authorized RCE filing fee) and \$395.00 (the correct small entity RCE filing fee).

If there are any questions regarding this request, please call the undersigned Applicant's attorney at (512) 439-5097. This request is submitted in duplicate.

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Mail Stop 16, Director of the U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450, on October 26, 2006.



Attorney for Applicant

2006 Oct 26

Date of Signature

Respectfully submitted,



Cyrus F. Bharucha
Attorney for Applicant
Reg. No. 42,324
Telephone: (512) 439-5097
Facsimile: (512) 439-5099



CAMPBELL
STEPHENSON
ASCOLESE LLP

COPY

4807 Spicewood Springs Road
Building 4, Suite 201
Austin, Texas 78759
T: 512-439-5080
F: 512-439-5099

October 12, 2006

Mail Stop RCE
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Re: Inventors: Paul Dagum; Thomas A. Chavez
Assignee: Rapt, Inc.
Title: METHOD AND APPARATUS FOR OPTIMIZING A
MULTIVARIATE ALLOCATION OF RESOURCES
Application No.: 09/491,461
Filing Date: January 26, 2000
Group Art Unit: 3623
Examiner: Beth Van Doren
Attorney Docket No.: RAP0001P1US

Dear Sir:

Transmitted herewith are the following documents in the above-identified application:

- (1) Return Receipt Postcard
 - (2) This Transmittal Letter [1 page, in duplicate]
 - (3) Request for Continued Examination (RCE) [1 page, in duplicate]
 - (4) Amendment and Remarks Accompanying RCE [13 pages]
- No additional fee is required.
- The fee has been calculated as shown below:

CLAIMS AS AMENDED

	Claims Remaining <u>After Amendment</u>	Highest No. <u>Previously Paid For</u>	Present <u>Extra</u>	Rate	Additional Fee
Total Claims	21	Minus	21	= 0 x \$50.00	\$ 0.00
Independent Claims	3	Minus	3	= 0 x \$200.00	\$ 0.00
<input type="checkbox"/>	Fee of _____ for the first filing of one or more multiple dependent claims per application				\$
<input checked="" type="checkbox"/>	Fee for Request for Continued Examination (RCE)			\$	790.00
<input type="checkbox"/>	Fee for Petition for Extension of Time			\$	790.00
	Total additional fee for this Amendment:			\$	790.00
<input checked="" type="checkbox"/>	Conditional Petition for Extension of Time: If an extension of time is required for timely filing of the enclosed document(s) after all papers filed with this transmittal have been considered, an extension of time is hereby requested.				
<input checked="" type="checkbox"/>	Please charge our Deposit Account No. 502306 in the amount of _____			\$	790.00
<input checked="" type="checkbox"/>	Also, charge any additional fees required and credit any overpayment to our Deposit Account No. 502306.				790.00

Total: \$ ~~790.00~~

Respectfully submitted,

Cyrus F. Bharucha
\$ 395.00

Cyrus F. Bharucha
Attorney for Applicant
Reg. No. 42,324

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Cyrus F. Bharucha
Attorney for Applicant

2006 Oct 12
Date of Signature

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PATENT

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Inventors: Paul Dagum and Thomas A. Chavez

Assignee: Rapt, Inc.

Title: METHOD AND APPARATUS FOR OPTIMIZING A
MULTIVARIATE ALLOCATION OF RESOURCES

Application No.: 09/491,461 Filing Date: January 26, 2000

Examiner: Beth Van Doren Group Art Unit: 3623

Docket No.: RAP0001P1US Confirmation No.: 8555

Austin, Texas

October 12, 2006

Mail Stop RCE
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

REQUEST FOR CONTINUED EXAMINATION (RCE)

Dear Sir:

This is a Request for Continued Examination (RCE) of the above-identified application. Please consider and enter the Amendment which is being submitted with this request. The RCE fee required under 37 C.F.R. § 1.17(e) is authorized in an accompanying transmittal letter.

Applicants respectfully request issuance of a Notice of Allowance in light of the Amendment which accompanies this RCE. Please contact the undersigned attorney with any questions concerning this request or the above-identified patent application.

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Cyrus Bharucha
Attorney for Applicant

2006 Oct 12
Date of Signature

Respectfully submitted,

Cyrus F. Bharucha
Attorney for Applicant
Reg. No. 42,324
Telephone: (512) 439-5097
Facsimile: (512) 439-5099

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CAMPBELL STEPHENSON ASCOLESE LLP
4807 Spicewood Springs Rd.
Building 4, Suite 201
Austin, TX 78759

OCT 20 2006

RECORDED MAIL

Mail Stop RCE
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Inventors: Paul Dagum; Thomas A. Chavez
Assignee: RAPT, INC.
Title: METHOD AND APPARATUS FOR OPTIMIZING A
Application No.: MULTIVARIATE ALLOCATION OF RESOURCES
09/491,461
Filed: January 26, 2000
Attorney Docket No.: RAP0001P1US



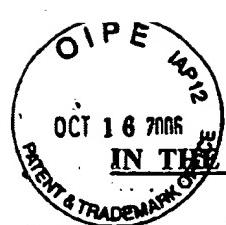
ENCLOSED:

- (1) Return Receipt Postcard
- (2) Transmittal Letter [1 page, in duplicate]
- (3) Request for Continued Examination (RCE) [1 page, in duplicate]
- (4) Amendment and Remarks Accompanying RCE [13 pages]

CFB/rdd

Date Mailed: October 12, 2006

COPY



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Cyrus F. Bharucha
Attorney for Applicant

Date of Signature

Respectfully submitted,


Cyrus F. Bharucha
10/17/2006 SDIRETAE 00000052 502306 09491461
01 FC:1801 790.00 DA

Cyrus F. Bharucha
Attorney for Applicant
Reg. No. 42,324
Telephone: (512) 439-5097
Facsimile: (512) 439-5099

Adjustment Date: 11/07/2006 SDIRETAE 00000052 502306 09491461
10/17/2006 SDIRETAE 00000052 502306 09491461
01 FC:1801 790.00 CR

11/07/2006 SDIRETAE 00000001 502306 09491461
01 FC:2801 395.00 DA